



ASE CAPITAL MARKETS LIMITED

(A Subsidiary of Ahmedabad Stock Exchange Ltd.- An ISO : 9001 : 2008 Company)

Member :- BSE : INB 011107431(Cash), INF 011107431 F & O, NSE : INB 231107435 (Cash) INF 231107435 (F & O),
NSE : INE 231107435 (CDS) MCX SX : INE 261107435 (CDS) & NSDL-DP IN 302461

Regd. Office : Kamdhenu Complex, Opp. Sahajanand College, Panjara Pole, Ambawadi, Ahmedabad-380015.

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Certificate number : 5668 QMS 001

MEMBER-CLIENT AGREEMENT FOR INVESTOR BASE INTERNET TRADING IN NOW SYSTEM

Segment : BSE Cash, BSE F&O, NSE Cash, CD NSE, NSE F&O

This agreement is made at _____ this _____ day of _____ between **ASE Capital Markets Ltd.** (MEMBER of National Stock Exchange India Ltd with SEBI Registration No. INB231107435 in Cash Segment and INF231107435 in Future and Option Segment, MEMBER of Bombay Stock Exchange Limited with SEBI Registration No. INB011107431 in Cash Segment and INF011107431 in Future and Option Segment), hereinafter called MEMBER and having its registered office at Kamdhenu Complex, Nr. Panjara Pole, Ambawadi, Ahmedabad-380015 and _____

_____ a individual / company / trust / firm or any other body formed and registered under the relevant act, hereinafter called the CLIENT (PAN NO: _____), having its residence / registered office at: _____

Client Email Id (**Compulsory**): _____

WITNESSTH:

Whereas the MEMBER is registered as TRADING MEMBER of National Stock Exchange of India Ltd. with SEBI Registration No: INB 231107435 in Cash segment and INF 231107435 in Future and Option Segment, MEMBER of Bombay Stock Exchange Limited with SEBI Registration No. INB011107431 in Cash Segment and INF011107431 in Future and Option Segment.

Whereas the CLIENT is desirous of investing / trading in those securities for dealing on the Exchanges as defined in the Bye-Laws of the Exchanges.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result there from.
3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect

of such transactions.

4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorized representative are not revealed to any third party.
6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT / MEMBERS/ EXCHANGE end.
7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within (time period as specified by the client) from the time of execution of order/trade on the NOW system, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.
10. 10>The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. 11>All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of Mumbai and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Mumbai for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

IN WITNESS THEREOF, the parties to agreement have caused these presents to be executed as of the day and year first above written.

Signed for the on behalf of

CLIENT NAME	ASE Capital Markets Ltd
X	X
Client Signature	Authorized Signature
Witness Name :	Witness Name :
Signature:	Signature:
Introduce By (ACML Registered Sub-Broker)	
Sub Broker Name : _____	
Sub Broker ID : <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	Client Code : <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>
X	

Signature & Stamp of Sub Broker/Authorized Person	
Place : Ahmedabad	